## EXHIBIT 40

HSBC 6 ⅓ 09/15/3		dions →	Page All Notes 🔳	e 1/12 Security	y Description: Bond 10 Sell
	10 Issuer Description				
Pages	Issuer Information			Identifiers	
Li Addil Info	Name HSBC HOLDINGS I			ISIN	US404280AH22
13) Reg/Tax	Industry Diversified Banks	ID Number	EG8100448		
Hi Coverants	Security Information			CUSIP	404280AH2
Ul Guarantor's	Mkt Iss Global	Bond Ratings			
16) Bond Ratings	Country GB	Currency	USD	Moody's	A3
17) Identifiers	Rank Subordinated	Series		S&P	BBB+
III) Exchanges	Coupon 6.500000	Туре	Fixed	Fitch	A+
19) Inv Parties 20) Fees, Restrict	Cpn Freq S/A			Composite	A
Dischales	Day Cnt 30/360	99.76300	Issuance & Trading		
22) Coupons	Maturity 09/15/2037	Amt Issued/Outstanding			
Quick Links	BULLET			USD	2,500,000.00 (M) /
XI ALLO Pricing	Iss Sprd +175.00bp vs T	4 3, 02/15	/37	USD	
IO) ORD Of Recep	Calc Type (1)STREET CON		Sienes De miller terbeneren petroacen er i	Min Piece/Inci	
A) TDH Trade Hist	Pricing Date		09/05/2007		.00 / 1,000.00
ISICACS Corp Action ISICF Prospectus	Interest Accual Date		09/12/2007		1,000.00
37) CN Sec News	1st Settle Date		09/12/2007	Book Runner	HSB
38) HDS Holders	1st Coupon Date		03/15/2008	Reporting	TRAC
	LONG 1ST CPN.			( 3 km/s/143 % 153 mg. Perikanakahiran antara Terpanakanan	nerigianisti en mantina mantina en esta de la mantina d

	At 17:02			SOULC	e BMR	N		Santa de Caracteria de Car
HSBC 6 ⅓ 09/15/37 🕷	29 Export	Set	tings				Securit	y Ownershij
ISBC 6 💃 09/15/37 - HSBC		alees) ke <del>ys</del> ye daal in			CUSIP <b>40428</b> 0			
and control of the co	I Matrix - I Debt							
	Sorted by Size	20 Save Se	cords	20 Delete	SasarAs	78	Refine Search	ent komitiki ini markika dimeni ini bibain. V
ext Search			l Holders		Investment Manager View			
1 Color Legend		Out 38.38	A.				vesincin ridii	oyel view
and the second second and the second	Portfolio Name	i de estación de la constitución de		A	1.22	t also che	File Ot	
Holder Name	SOLDIO ON SHE	Source	Opt 個別・簡	Position	₹ Out	Latest Chg	THE DI	
1. CD Dodge & Cox	Multiple Portfolios	NE-AGG		244,781	9.79	0	03/31/19[2	
2 D Vanguard Group Inc/The		ULT-AGG		123,060	4.92	9,875	05/31/19	
3 D Prudential Financial Inc		ULT-AGG		63,283	2.53		04/30/1914	
A CO Allianz SE		ULT-AGG		60,600	2.42		03/31/39	
L 🖸 BlackRock Inc		ULT-AGG		54,350	2.17	400	06/26/19	
ε D Sun Life Financial Inc		ULT-AGG		37.861	1.51		03/31/19	
I. C American International Gro.		ULT-AGG		36,296	1.45	430	03/31/19	
I. D Capital Securities Investm	Multiple Portfolios	MF-AGG		31.460	1.26	1,071	06/21/19	
t O American Equity Investmen-	. Multiple Portfolios	Sch-D		25,000	1.00		03/31/19	
🛦 🕻 Liberty National Life Insur		ULT-AGG		20,000	0.50	0	03/31/19	
1. 🛭 Northwestern Mutual Life L.		ULT-AGG		20.000	0.80	2,475	03/31/19/2	
). D Dai-ichi Life Holdings Inc		ULT-AGG		16,000	0.64	0	03/31/19	
L 🖸 EquiTrust Life Insurance Co	Multiple Portfolios	Sch-D		15.830	0.63		03/31/19[#	
L CI Aegon NV		ULT-AGG		15,000	0.60	0	03/31/19/	
S & FGL Holdings		ULT-AG6		15,000	0.60		03/31/19	
L 🖸 Massachusetts Hutual Life		ULT-AGG		13,725	0.55	138	03/31/19	
i, 🛭 SEI Investments Co		ULT-AGG		10,190	0.41	0	05/31/19/2	
t. 🖸 Aetna Inc		ULT-AGG		9.135	0.37	0	03/31/19/2	
H. D FAR LLC		ULT-AGG		9,007	0.36	1,493	04/30/19	
yk 🕻 Lincoln Benefit Life Co	Multiple Portfolios	Sch-D		9,000	0.36		03/31/19	
L 🖸 Manulife Financial Corp		ULT-AGG		7,935	0.32	5,000	03/31/19	
2. 🖸 Thrivent Financial for Luth	Multiple Portfolios	Sch-D		7,000	0.28		03/31/19[#	
71. <b>10</b> HSEC Group		ULT-AG5		6,925	0.78	6,925	03/31/1912	
74. D Forethought Life Insurance.	Nultiple Portfolios	Sch-D		6,244	0.25		03/31/19	

ocument screen ASBC 6 & 09/15/5	ASSESSMENT TO THE PARTY OF THE PARTY OF	Contraction of the second contraction of	upat ttings		ELE.	FILENU		v. праму / Ownersh	
SBC 6 💃 09/15/37 - I	HSBC HLDGS PLC						(	USIP <mark>4042</mark> 8	ЮΑ
Current   1 Histories		<del>Марки Воринический примений в при</del>	ansonescó instituto		Jednostackom jamenak	nanan panjaninan ja ja visi ete e	innontaminen ministration		
earch Name (distrible	ers, Sorted by Size	M Save S	earch	20 Delete	Search	73	Refine Search		
ext Search		Holder	Group	All Holders		i in In	vestment Mana	ager View	
Ocolor Legend		% Out 38.38	A						
Holder Name	Portfolio Name	Source	Opt	Position	4 Out	Latest Cha	File Dt		
		AS.	· [1]				( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )		
4. <b>C3</b> CareFirst Inc		ULT-AGG		1,550	0.06	150	03/31/19[#		
II. C Frost Investment Adv	sors Wiltiple Portfolios	MF-MGG		1,500	0.06	0	06/26/19/		
L 🗖 Liscoln National Corp		ULT-AGG		1,500	0.06	0	03/31/19		
K 🖸 Athene Holding Ltd		ULT-AG6		1,300	0.05	0	03/31/1914		
L 🖸 Zuercher Kantonalban	k – Hultiple Portfolios	WF-865		1,225	0.05	7	03/29/19[2		
L. D Charles Schwab Corp/	The	ULT-AGG		1,155	0.05	400	06/26/19		
IL <b>DI</b> SWISS RE AG		ULT-AG6		1,000	0.04	0	03/31/1912		
g 🛭 Guardian Life Insuran	ce Co.	ULT-AGG		1,000	0.04	0	03/31/19/2		
4. 🛭 Fuh Hwa Investment 1	irust Multiple Portfolios	MF-AGG		899	0.04	***	05/31/1912		
🖔 🛭 Power Corp of Canada		ULT-AGG		750	0.03		12/31/18/2		
ik 🛭 Hason Street Advisors	LLC Hultiple Portfolios	HF-M66		704	0.03	704	03/31/19/2		
57, 🛭 Yuanta Securities Inv	estm Hultiple Portfolios	HF-AGG		679	0.03	0	05/31/1912		
a, 🛭 DekaSanik Deutsche G	iroze Multiple Portfolios	MF-AGG		650	0.03		12/28/18		
it. 🛛 Nationwide Fund Advi:		MF-AGG		600	0.02	0	05/31/19		
il 🛭 Slovene National Beni		Sch-D		525	0.02	0	03/31/19社		
IL 🖪 CENTURYLINK INC HAS		WF-166		505	0.02	0	12/31/17/2		
i), 🛱 Assicurazioni General		ULT-AGG		500	0.02		02/28/18 <u>/</u>		
L 🛭 GuideStone Capital Mi		MF-AGG		500	0.02		03/31/19/2		
🚜 🕻 Catholic United Financ		Sch-D		475	0.02	0	03/31/19		
), 🛮 Goldman Sachs Group		ULT-AGG		420	0.02	4	06/26/19		
y. 🗱 Insurance Co of the W		ULT-AGG		400	0.02	0	03/31/19/2		
a, 🖰 Consulting Group Advi		MF-MGG		390	0.02		03/29/19		
61. D Hackenzie Investmen		ME-AGG		336	0.01	0	06/25/19/2		
69. D Highmark BCBSD Inc.	Hultiple Portfolios	Sch-D		325	0.01	Q	03/31/19		

OCUMENT SCREENSho ISBC 6 ½ 09/15/37 ISBC 6 ½ 09/15/37 - HSBC Aurrent 2 Mistorical	FILEKG	FILE <go> to view. MSGA<g Security Ownership CUSIP 404280A</g </go>						
earch Name <u>Alb Holders .</u>	Sorted by Size	14) 20 Save S	earch	20 Delete	Search	23	Refine Seard	enemananing mentendelik sebenah di sebenah d Sebenah sebenah sebenah di sebenah
ext Search		// Holder	Group	All Holders		i i i i i i i i i i i i i i i i i i i	vestment Han	ager View
Color Legend		% Out 38.38	Q					
Holder Name	Portfolio Name	Source All	Opt	Position	1 Out	Latest Chg	File DI	
🕻 🖸 DekaBank Deutsche Groze		NF-MGG		650	0.03		12/28/18/	
I, C Nationwide Fund Advisors	Nultiple Portfolios	NF-MGG		600	0.02		05/31/19	
( C Slovene National Benefit 5		Sch-D MF-AGG		525	0.02		03/31/19	
L CENTURYLINK INC MASTER	Multiple Portfolios			505	0.02		12/31/17/2	
) 🚨 Assicurazioni Generali SpA		ULT-AGG MF-AGG		500 500	0.02		02/28/18[2	
1 🖸 GuideStone Capital Manage.		77 700 Sch-D		500 475	0.02		03/31/19/	
4 🚨 Catholic United Financial 1, 🚨 Goldman Sachs Group Inc./	Multiple Portfolios	ULT-AGG		420	0.02		03/31/19 <u>1/</u> 06/26/19 <b>1</b> /	
), <b>to</b> columns six no ordup incy A. <b>to</b> Insurance Co of the West		ULT-AGG		400 400	0.02	*	03/31/19	
r. 😝 Insurance to brune west. T. 😭 Consulting Group Advisory	Madriela Destidan			390	0.02	X A	03/29/19	
I. 🖸 Mackenzie Investments Corr				336	0.01		06/25/19	
s 🗱 Hockericle unvestments con; A 🖸 Hochmark BCBSD Inc	Pultiple Portfolios			230 323	0.01		03/31/19/2	
i, 😝 Northern Trust Corp	Rolliple Portfolios			300	0.01		03/31/19/2	
L C Mizuho Financial Group Inc	a mangan tan santan	ULT-AGG		280	0.01	***	11/15/10/2	
, 😝 Pourio Francisco Group Inc. ] 😝 Advantage Workers Compe	Multiple Portfolios	Sch-D		250	0.01	n n	03/31/19	
1 🖸 NGAH Canada LP	Nultiple Portfolios			200	0.01		12/31/18	
4 D Prudential PLC	A locate Medical Language and Applications	ULT-AGG		200	0.01		06/30/18	
S CO XTRACKERS IE PLC	Multiple Portfolios	NF-AGG		200	0.01		06/27/19	
L D Hinnesota Hutual Cos Inc		ULT-AGG		175	0.01		10/31/19	
7. 🖸 Frankin Resources Inc		ULT-AGG		170	0.01		03/31/19/-	
t 🖰 Transemerica Financial Lif	<b>Multiple Portfolios</b>	Sch-D		110	0.00		03/31/1912	
ı, 🛭 Credit Agricole Group		ULT-AGG		100	0.00		03/29/19	
M. C Directors Life Assurance Co	<b>Hultiple Portfalios</b>	Sch-D		50	0.00		03/31/191/	
g, 🖸 Callan LLC	<b>Multiple Portfolios</b>	NF-AGG			0.00	200	03/31/19[-	

HSBC 6 3 09/15/37 - HSBC HLDGS PLC CUSIP 44									
ext Search	Sorted by Size •			Zh Delete Search All Holders		22 Refine Search Investment Manager View			
© Color Legend Holder Name	Fortfolio Name	J. 30.30 Source	₽↑ Opt	Position	\$ Out	Latest Cho	File Ot		
		All	· 🛗 - 1						
g de tros components i funcione dos do-		UKT-AGG		64,000 34,000	10 (10 th)	*****	MATERIAL AND THE		
(2. 🛱 Dai-ichi Life Holdings Inc	Section of the section	san asu Sch-D		16,000 15,830	0.64		03/31/19		
). 🛱 Equilitust Life Insurance Co	routple Fortions	ULT-AGG					03/31/19		
4 🚨 Aegen NV		ULT-AGG		15,000 15,000	0.60	***	03/31/19/2		
1 🖸 FGL Holdings 1 🖸 Hassachusetts Mutual Life							03/31/19/2		
		UET-AGG UET-AGG		13,725	0.55	-73	03/31/19/2		
7, 😋 SEI Investments Co				10,190	0.41	Ø.	05/31/19/2		
Acina Inc		ULT-AGG		9,135	0.37	<b>1</b>	03/31/19/2		
CO FAR LLC	Car Sales de La valoria de la companya del companya del companya de la companya d	UNT-AGG		9,007	0.36	1.49	04/30/19 <u>/</u> /		
t 🖸 Lincoln Benefit Life Co	Multiple Portfolios	Sch-D		9,000	0.36		03/31/19/2		
1. C Manulife Figancial Corp	The second and the second second	ULT-AGG		7,935	0.37	5,000	03/31/19		
) D Throvent Pinancial for Lith.	Partiple Portfolios	Sch-D		7,000	0.28	<b>1</b>	03/31/19		
0. 🗖 HSBC Group	Carrier a said	ULT-AGS		6,925	0.28	6,925	03/31/19 <u>/</u> E		
C Household Life Insurance.		Sch-D		6,925	0.28	6,925	03/31/19/2		
	PAVONIA LIFE INS CO OF			6,928	0.28	6,925	03/31/19/2		
v. 🛭 Forethought Life Insurance		Sch-D		6,244	0.25		03/31/19/4		
7, O Presidential Life Insurance.		Sch-D		6,150	0.25	6,150	03/31/19		
), 🖸 Federated Mutual Insuranc		ULT-AGG		6,000	0.74	5,000	03/31/19/		
M. D MedLife Inc	Barrier de Carlos de Carlo	ILT-AG6		5,282	0.21	18,461	03/31/19/		
🛚 🖸 First Allmerica Financial Li	Multiple Portfolios	Sch-D		5,200	0.21		03/31/19/		
L D State Street Corp		ULT-MGG		5,013	0.20		06/26/19/C		
	Multiple Portfolius	HT-N/G		5,000	0.20		03/31/19上		
31. 🛭 Commonwealth Annuity & L.		Sch-D		4,750	0.19	0	03/31/19/2		
ንሊ <b>ር፤</b> United States Life Insuranc.		Sch-D		4,420	0.18		03/31/19/2		
33 🛱 Variable Amusty Life Insur.	Michael Partfolias				0.17	oranicano errorista interior	mannele		